

REDD Methodological Module

“Estimation of carbon stocks and changes in carbon stocks in the belowground carbon pool”

Version 1.0 - April 2009

I. SCOPE, APPLICABILITY AND PARAMETERS

Scope

This module allows for estimating carbon stocks and changes in carbon stocks in belowground tree biomass. Calculation of emissions from belowground tree biomass due to deforestation are dealt with in the baseline modules, referencing stocks calculated here.

Applicability

This module is applicable to all forest types and age classes with stable or increasing stocks in the with-project case.

Parameters

This module produces the following parameters:

| Parameter | SI Unit | Description |
|---------------------------|---------------------------------------|--|
| $C_{BB_tree,i,t=0}$ | t CO ₂ -e ha ⁻¹ | Initial carbon stock in belowground tree biomass in strata <i>i</i> |
| $\Delta C_{BB_tree,i,t}$ | t CO ₂ -e ha ⁻¹ | Carbon stock changes in belowground tree biomass in strata <i>i</i> at time <i>t</i> |

II. PROCEDURES

Estimation of initial carbon stocks in belowground tree biomass ($C_{BB_tree,i,t=0}$)

The mean carbon stock in belowground tree biomass per unit area is estimated based on field measurements of aboveground parameters in sample plots. For estimation of initial stocks at t=0 it is acceptable to use pre-existing forest inventory data, providing the necessary parameters, that is not more than 5 years old. Root:shoot ratios are coupled with either of two

methods, Biomass Conversion and Expansion Factors (*BCEF*) or the Allometric Equations method, to calculate belowground from aboveground biomass.

Root:shoot ratio and BCEF method

Step 1: Determine based on available data, e.g. volume tables (*ex ante*) and measurements (*ex post*), the diameter (*DBH*, at typically 1.3 m [4.3 ft] above ground level), and also preferably merchantable height (*MH*), of all the trees above some minimum *DBH* in the sample plots.

Step 2: Estimate the volume of the commercial (merchantable) component of trees based on available equations or yield tables (if locally derived equations or yield tables are not available use relevant regional, national or default data as appropriate and validated (see parameters)). It is possible to combine steps 1 and 2 if there are field instruments (e.g. a relascope) that measure the volume of each tree more directly.

Step 3: Choose appropriate *BCEF* and root:shoot ratio. If relevant information is available the *BCEF* and root:shoot ratios should be adjusted for forest type or stand structure.

Step 4: Convert the volume of the commercial component of the trees into the plot level belowground tree biomass carbon stock. Volumes are summed to the plot level before applying the *BCEF* and root:shoot ratio.

$$C_{BB_tree,sp,i,t=0} = \sum_{j=1}^S \left(BCEF_j * R_j * CF_j \sum_{l=1}^{N_{j,sp,i,t=0}} V_{l,j,sp,i,t=0} \right) \quad (1)$$

Where:

| | |
|-------------------------|---|
| $C_{BB_tree,sp,i,t=0}$ | Belowground tree biomass carbon stock of trees in plot <i>sp</i> , in stratum <i>i</i> at time $t=0$; t C |
| $V_{l,j,sp,i,t=0}$ | Merchantable volume of tree <i>l</i> of species <i>j</i> in plot <i>sp</i> in stratum <i>i</i> at time $t=0$, m ³ |
| <i>BCEF_j</i> | Biomass conversion and expansion factor for conversion of merchantable volume to total aboveground tree biomass for tree species <i>j</i> ; dimensionless |
| R_j | Root:shoot ratio for tree species <i>j</i> ; t root d.m. t ⁻¹ shoot d.m. |
| <i>CF_j</i> | Carbon fraction of biomass for tree species <i>j</i> ; t C t ⁻¹ d.m. |
| <i>l</i> | 1, 2, 3, ... $N_{j,i,sp,t}$ sequence number of individual trees of species <i>j</i> in sample plot <i>sp</i> in stratum <i>i</i> at time <i>t</i> |
| <i>i</i> | 1, 2, 3, ... <i>M</i> strata |
| <i>j</i> | 1, 2, 3 ... <i>S</i> tree species |
| $t=0$ | 0 years elapsed since start of the project activity |

Step 5: Calculate the mean belowground tree biomass carbon stock for each stratum, converted to carbon dioxide equivalents:

$$C_{BB_tree,i,t=0} = \frac{1}{A_{sp_i}} * \sum_{sp=1}^{P_i} C_{BB_tree_sp,i,t=0} * \frac{44}{12} \quad (2)$$

Where:

| | |
|--------------------------|---|
| $C_{BB_tree,i,t=0}$ | Mean belowground tree biomass carbon stock in stratum i at time $t=0$; t CO ₂ -e ha ⁻¹ |
| $C_{BB_tree_sp,i,t=0}$ | Mean belowground tree biomass carbon stock of trees in plot sp , in stratum i at time $t=0$; t C |
| A_{sp_i} | Total area of all sample plots in stratum i ; ha |
| sp | 1, 2, 3 ... P_i sample plots in stratum i |
| i | 1, 2, 3 ... M strata |
| $t=0$ | 0 years elapsed since the start of the project activity |
| 44/12 | Ratio of molecular weight of CO ₂ to carbon, t CO ₂ -e t C ⁻¹ |

Root:shoot ratio and Allometric Equation method

Step 1: As with Step 1 of the *BCEF* method.

Step 2: Select an appropriate root:shoot ratio and select or develop an appropriate and validated allometric equation (if possible species-specific, or if not from a similar species).

Step 3: Estimate carbon stock in belowground tree biomass for each individual tree l of species j in the sample plot located in stratum i using the selected root:shoot ratio to convert belowground tree biomass from aboveground biomass calculated using the selected/developed allometric equation applied to the tree dimensions resulting from Step 1 (if using height, use total height (H) in place of merchantable height (MH)), and sum the carbon stocks in the sample plot:

$$C_{BB_tree,j,sp,i,t=0} = \sum_{l=1}^{N_{j,sp,i,t=0}} f_j(DBH, H) * R_j * CF_j \quad (3)$$

Where:

| | |
|---------------------------|---|
| $C_{BB_tree,j,sp,i,t=0}$ | Carbon stock in belowground tree biomass of trees of species j in sample plot sp in stratum i at time $t=0$; t C |
| CF_j | Carbon fraction of biomass for tree species j ; t C t ⁻¹ d.m. |
| $f_j(DBH, H)$ | Allometric equation for species j linking diameter at breast height (DBH) and possibly total height (H) to aboveground biomass of trees; t. d.m. tree ⁻¹ |

| | |
|-------|---|
| R_j | Root:shoot ratio for tree species j ; t root d.m. t ⁻¹ shoot d.m. |
| i | 1, 2, 3, ... M strata |
| j | 1, 2, 3 ... S tree species |
| l | 1, 2, 3, ... $N_{j,i,sp,t}$ sequence number of individual trees of species j in sample plot sp in stratum i at time t |
| $t=0$ | 0 years elapsed since start of the project activity |

Step 4: Calculate total carbon stock in the belowground tree biomass of all trees present in the sample plot sp in stratum i at time $t=0$

$$C_{BB_tree,sp,i,t=0} = \sum_{j=1}^S C_{BB_tree,j,sp,i,t=0} \quad (4)$$

Where:

| | |
|----------------------------|---|
| $C_{BB_tree,sp,i,t=0}$ | Belowground tree biomass carbon stock of trees in sample plot sp of stratum i at time $t=0$; t C |
| $C_{BB_tree,,j,sp,i,t=0}$ | Carbon stock in belowground tree biomass of trees of species j in sample plot sp in stratum i at time $t=0$; t C |
| i | 1, 2, 3, ... M strata |
| j | 1, 2, 3 ... S tree species |
| $t=0$ | 0 years elapsed since the start of the project activity |

Step 5: Calculate the mean carbon stock in belowground tree biomass for each stratum, converted to carbon dioxide equivalents:

$$C_{BB_tree,i,t=0} = \frac{1}{A_{sp_i}} * \sum_{sp=1}^{P_i} C_{BB_tree,sp,i,t=0} * \frac{44}{12} \quad (5)$$

Where:

| | |
|-------------------------|---|
| $C_{BB_tree,i,t=0}$ | Mean belowground tree biomass carbon stock in stratum i at time $t=0$; t CO ₂ -e ha ⁻¹ |
| $C_{BB_tree,sp,i,t=0}$ | Belowground tree biomass carbon stock of trees in sample plot sp of stratum i at time $t=0$; t C |
| A_{sp_i} | Total area of all sample plots in stratum i ; ha |
| sp | 1, 2, 3 ... P_i sample plots in stratum i |
| i | 1, 2, 3 ... M strata |
| $t=0$ | 0 years elapsed since the start of the project activity |

Baseline

This module does not track growth in belowground tree biomass occurring prior to deforestation in areas deforested the baseline scenario. Stocks of belowground tree biomass in areas deforested in the baseline are assumed to be constant, or are matched and canceled by (the same) growth measured in the with-project case if the election is made to monitor growth in the with-project case (see below).

For areas not deforested in the baseline scenario, growth is not tracked (and stocks are conservatively assumed to be constant) in both baseline and with-project scenarios.

Post-deforestation stocks are equally treated as constant and this value may be the ultimate stocks of the designated replacement land use. Where the land use is part of a cycle, the time-weighted average of the carbon stocks can be used. Proxy measurement sites must represent the land use, site conditions and management practices identified as the most likely conversion use in the baseline, and documentation must be provided to the satisfaction of the verifier establishing that the lands are representative.

Actual carbon stock change in belowground tree biomass in the with-project scenario

Two alternatives are provided for growth in belowground tree biomass in the with-project case, either:

1. conservatively assume no growth in belowground tree biomass in areas deforested in the baseline, and thus no monitoring required following initial quantification of stocks at time $t=0$, or
2. monitor belowground tree biomass in the project for baseline deforested areas using permanent sample plots.

Where belowground tree biomass increment is monitored in the project, sample plots must be permanent. As in stocks, belowground tree biomass is not measured directly but is instead estimated based on field measurements of aboveground parameters using root:shoot ratios coupled with *BCEFs* or allometric equations.

Carbon stock changes in belowground tree biomass are calculated using the gain-loss method, where incremental growth minus mortality (conservatively treated as an immediate emission in the project case) equals net change in carbon stocks. Treating belowground tree biomass mortality as an immediate emission also reconciles with the assumption that soil organic carbon stocks, to which dead belowground tree biomass is eventually transferred, are steady state (inputs equal decomposition) employed in the soil organic carbon module.

$$\Delta C_{BB_treei,t} = \Delta C_{G, BB_treei,t} - \Delta C_{L, BB_treei,t} \quad (6)$$

Where:

$\Delta C_{BB_tree\ i,t}$ Annual net carbon stock change in belowground tree biomass for stratum i , at time t ; t CO₂-e ha⁻¹ yr⁻¹

$\Delta C_{G, BB_tree\ i,t}$ Annual increase in carbon stock due to belowground tree biomass growth for stratum i , at time t ; t CO₂-e ha⁻¹ yr⁻¹

$\Delta C_{L, BB_tree\ i,t}$ Annual decrease in belowground tree biomass carbon stock due to tree mortality for stratum i , at time t ; t CO₂-e ha⁻¹ yr⁻¹

i 1, 2, 3 ... M strata

t 1, 2, 3 ... t years elapsed since the start of the project activity

The annual decrease in belowground tree biomass carbon stock for stratum i at time t ($\Delta C_{L, BB_tree\ i,t}$) is calculated in the same way as belowground tree biomass carbon stocks (Equations 1-5, but instead expressed as t CO₂-e ha⁻¹ yr⁻¹), restricting per tree biomass estimates to trees present at time $t-1$ but absent or visibly dead at time t .

The annual increase in carbon stock due to biomass growth for stratum i at time t ($\Delta C_{G, BB_tree\ i,t}$) are estimated through the following steps using root:shoot ratios coupled with aboveground biomass determined through either the Allometric Equation method or, where allometric equations are not available, restricting estimation of aboveground biomass increment to the commercial stem component (conservative)¹. Trees used in the estimation are restricted to trees present at both time t and time $t-1$, and trees present at time t but absent (i.e. below minimum diameter threshold) at time $t-1$ (incremental growth of recruiting stems), in which case diameter at time $t-1$ is set as the minimum diameter measured in the sample plots.

Step 1: Estimate annual increase in belowground tree biomass carbon stock of trees in sample plot sp in stratum i at time t .

For Allometric method

$$\Delta C_{G_BB_tree, sp, i, t} = \sum_{j=1}^S \sum_{l=1}^{N_{j, sp, t, i}} (f_j (DBH_t, H_t) * R_j * CF_j) - (f_j (DBH_{t-1}, H_{t-1}) * R_j * CF_j) \quad (7)$$

Where:

$\Delta C_{G, BB_tree, sp, i, t}$ Annual increase in belowground biomass carbon stock of trees in sample plot sp in stratum i at time t ; t C yr⁻¹

CF_j Carbon fraction of biomass for tree species j ; t C t⁻¹ d.m.

¹ Note that Biomass Conversion and Expansion Factors (BCEFs) are not applied on a per stem basis, hence cannot be used to calculate biomass *increment* per stem

| | |
|--------------|--|
| $f_j(DBH,H)$ | Allometric equation for species j linking diameter at breast height (DBH) and possibly total height (H) to aboveground biomass of trees; t. d.m. tree ⁻¹ ; DBH_{t-1} of trees absent at time $t-1$ is equal to the minimum DBH measured in the sample plots |
| R_j | Root:shoot ratio for tree species j ; t root d.m. t ⁻¹ shoot d.m. |
| i | 1, 2, 3, ... M strata |
| j | 1, 2, 3 ... S tree species |
| l | 1, 2, 3, ... $N_{j,i,sp,t}$ sequence number of individual trees of species j in sample plot sp in stratum i at time t ; restricted to trees present at both time t and time $t-1$, and trees present at time t but absent at time $t-1$ |
| t | 1, 2, 3 ... t years elapsed since start of the project activity |

For commercial stem component only

$$\Delta C_{G_BB_tree,sp,i,t} = \sum_{j=1}^S \sum_{l=1}^{N_{j,sp,i,t}} (V_{l,j,sp,i,t} * D_j * R_j * CF_j) - (V_{l,j,sp,i,t-1} * D_j * R_j * CF_j) \quad (8)$$

Where:

| | |
|---------------------------------|--|
| $\Delta C_{G_BB_tree,sp,i,t}$ | Annual increase in belowground tree biomass carbon stock of trees in sample plot sp in stratum i at time t ; t C yr ⁻¹ |
| $V_{l,j,sp,i,t}$ | Merchantable volume of tree l of species j in sample plot sp in stratum i at time t , m ³ ; $V_{l,j,sp,i,t-1}$ of trees absent at time $t-1$ is equal to the volume corresponding to the minimum DBH measured in the sample plots |
| D_j | Basic wood density of species j ; t d.m.m ⁻³ |
| R_j | Root:shoot ratio for tree species j ; t root d.m. t ⁻¹ shoot d.m. |
| CF_j | Carbon fraction of biomass for tree species j ; t C t ⁻¹ d.m. |
| l | 1, 2, 3, ... $N_{j,i,sp,t}$ sequence number of individual trees of species j in sample plot sp in stratum i at time t ; restricted to trees present at both time t and time $t-1$, and trees present at time t but absent at time $t-1$ |
| i | 1, 2, 3, ... M strata |
| j | 1, 2, 3 ... S tree species |
| t | 1, 2, 3 ... t years elapsed since start of the project activity |

Step 2: Calculate the mean annual increase in belowground tree biomass carbon stock of trees for each stratum, converted to carbon dioxide equivalents:

$$\Delta C_{G_BB_tree,i,t} = \frac{1}{A_{sp_i}} * \sum_{sp=1}^{P_i} \Delta C_{G_BB_tree,sp,i,t} * \frac{44}{12} \quad (9)$$

Where:

| | |
|------------------------------------|--|
| $\Delta C_{G_BB_tree,i,t}$ | Mean annual increase in belowground tree biomass carbon stock of trees in stratum i at time t ; t CO ₂ -e ha ⁻¹ yr ⁻¹ |
| $\Delta C_{G, BB_tree, sp, i, t}$ | Annual increase in belowground tree biomass carbon stock of trees in plot sp in stratum i at time t ; t C yr ⁻¹ |
| A_{sp_i} | Total area of all sample plots in stratum i ; ha |
| sp | 1, 2, 3 ... P_i sample plots in stratum i |
| i | 1, 2, 3 ... M strata |
| t | 1, 2, 3 ... t years elapsed since the start of the project activity |
| 44/12 | Ratio of molecular weight of CO ₂ to carbon, t CO ₂ -e t C ⁻¹ |

III. DATA AND PARAMETERS NOT MONITORED (DEFAULT OR POSSIBLY MEASURED ONE TIME)

| | |
|----------------------------------|--|
| Data / parameter: | <i>CF</i> |
| Data unit: | t C t ⁻¹ d.m. |
| Used in equations: | 1, 3, 7, 8 |
| Description: | Carbon fraction of dry matter |
| Source of data: | Default value 0.47 t C t ⁻¹ d.m. can be used, or species specific values from the literature (e.g. IPCC 2006 INV GLs AFOLU Chapter 4 Table 4.3) |
| Measurement procedures (if any): | |
| Any comment: | |

| | |
|----------------------------------|---|
| Data / parameter: | <i>BCEF</i> |
| Data unit: | Dimensionless |
| Used in equations: | 1 |
| Description: | Biomass conversion and expansion factor for conversion of commercial wood volume to total aboveground tree biomass |
| Source of data: | The source of data shall be chosen with priority from higher to lower preference as follows: (a) Existing local and forest type-specific; (b) National and forest type-specific or eco-region-specific (e.g. from national GHG inventory); (c) Forest type-specific or eco-region-specific from neighboring countries with similar conditions. Sometimes (c) might be preferable to (b); (d) Global forest type or eco-region-specific (e.g. IPCC 2006 INV GLs AFOLU Chapter 4 Table 4.5) |
| Measurement procedures (if any): | |
| Any comment: | Alternatively, BCEF, where not directly available, can be calculated as wood density (t dry mass m ⁻³ green volume) * BEF (Biomass Expansion Factor = ratio of aboveground biomass to biomass of the commercial volume). Species-specific BCEFs may not always be available, and may be difficult to apply with certainty in the typically species rich forests of the humid tropics, hence it is acceptable practice to use BCEFs developed for |

| | |
|--|--|
| | <p>regions or groups of species, provided that their accuracy has been validated with direct site-specific data (per guidance below).</p> <p>It is necessary to verify the applicability of BCEFs used.</p> <p>BCEFs are verified by both:</p> <ol style="list-style-type: none"> 1. Review of source data from which BCEF was derived and confirmation that the source data is representative of the forest type and conditions in the project and covers the range of potential commercial volumes. and by assessing accuracy of BCEF on a per tree basis by: 2. Limited Destructive Sampling <ul style="list-style-type: none"> ▪ Select at least 5 trees covering the range of DBH existing in the project area ▪ Measure DBH and commercial height and calculate volume using the same procedures/equations used to generate commercial volumes to which BCEFs will be applied ▪ Fell and weigh the aboveground biomass to determine the total (wet) weight of the stem and branch components; ▪ Extract and immediately weigh subsamples from each of the wet stem and branch components, followed by oven drying at 70°C to determine dry biomass; ▪ Determine the total aboveground biomass (t) of each tree from the wet weights and the averaged ratios of wet and dry weights of the stem and branch components <p>If the total aboveground biomass of the harvested trees is within ±10% of the total aboveground biomass predicted by the selected default BCEF (applied to the calculated commercial volume), and is not biased, then values from the BCEF may be used. Otherwise, the BCEF must be re-parameterized to conform to the validation data before using, or another BCEF selected.</p> |
|--|--|

| | |
|--------------------------|--|
| Data / parameter: | <i>D</i> |
| Data unit: | t d.m. m ⁻³ |
| Used in equations: | 8 |
| Description: | Basic wood density |
| Source of data: | The source of data shall be chosen with priority from higher to lower preference as follows: |

| | |
|----------------------------------|---|
| | <p>(a) National species-specific or group of species-specific (e.g. from National GHG inventory);</p> <p>(b) Species-specific or group of species-specific from neighboring countries with similar conditions. Sometimes (b) may be preferable to (a);</p> <p>(c) Global species-specific or group of species-specific (e.g. IPCC 2006 INV GLs AFOLU Chapter 4 Tables 4.13 and 4.14).</p> <p>Species-specific wood densities may not always be available, and may be difficult to apply with certainty in the typically species rich forests of the humid tropics, hence it is acceptable practice to use wood densities developed for forest types or species groups.</p> |
| Measurement procedures (if any): | N/A |
| Any comment: | <p>Wood densities must be validated with either limited destructive sampling or direct measurement of wood hardness (e.g. with a Pilodyn wood tester) in the field and correlating with wood density. Samples or measurements should be from 20-30 trees. For validation of mean forest type or species group wood densities, representation of species in the sample should be proportional to their occurrence in terms of <i>basal area</i> or <i>volume</i> in the project area (not abundance or stem density). Samples should provide representation across the length of the tree.</p> <p>Wood samples are cut in discs and thickness and diameter measured to calculate green volume. Samples are oven dried (70° C) to a constant weight in the laboratory, and density calculated as dry weight (g) per unit green volume (cm³).</p> <p>If the density of the samples/measurements (or mean density in the case of forest type or species group means) is within ±10% of the selected density values, then the selected density values may be used. Otherwise, a new density value must be developed with more extensive sampling, using the validation samples as a base.</p> |

| | |
|--------------------|--|
| Data / parameter: | $f_j(DBH,H)$ |
| Data unit: | t d.m. tree ⁻³ |
| Used in equations: | 3, 7 |
| Description: | Allometric equation for species j linking diameter at breast height (DBH) and possibly total height (H) to aboveground biomass of living trees |
| Source of data: | Whenever available, use allometric equations that are species-specific or group of species-specific, provided the equations have been derived using a wide range |

| | |
|----------------------------------|---|
| | <p>of diameters and heights, based on datasets that comprise at least 20 trees. Otherwise, default equations from IPCC literature, national inventory reports or published peer-reviewed studies may be used – such as those provided Tables 4.A.1 to 4.A.3 of the GPG-LULUCF (IPCC 2003) or in</p> <p>Pearson, T., Walker, S. and Brown, S. 2005. Sourcebook for Land Use, Land-Use Change and Forestry Projects. Winrock International and the World Bank Biocarbon Fund. 57pp. Available at: http://www.winrock.org/Ecosystems/files/Winrock-BioCarbon_Fund_Sourcebook-compressed.pdf</p> <p>Species-specific allometric equations may not always be available, and may be difficult to apply with certainty in the typically species rich forests of the humid tropics, hence it is acceptable practice to use equations developed for regions or groups of species, provided that their accuracy has been validated with direct site-specific data (per guidance below).</p> |
| Measurement procedures (if any): | |
| Any comment: | <p>It is necessary to verify the applicability of equations used.</p> <p>Allometric equations can be verified either by:</p> <ol style="list-style-type: none"> 1. Review of source data from which equation was derived and confirmation that the source data is representative of the forest type/species and conditions in the project and covers the range of potential DBH. <p><i>and</i> either (2a or 2b)</p> <p>2a. Destructive Sampling</p> <ul style="list-style-type: none"> ▪ Selecting at least 5 trees covering the range of DBH existing in the project area (but excluding trees less than 15 years old for which there is rarely a great relative inaccuracy in equations), and felling and weighting the aboveground biomass to determine the total (wet) weight of the stem and branch components; ▪ Extracting and immediately weighing subsamples from each of the wet stem and branch components, followed by oven drying at 70°C to determine dry biomass; ▪ Determining the total dry weight of each tree from the wet weights and the averaged ratios of wet and dry weights of the stem and branch components. <p>2b. Limited Measurements</p> <ul style="list-style-type: none"> ▪ Select at least 10 trees per species distributed across the age range (but excluding trees less than 15 years old for which there is rarely a great relative inaccuracy in equations) ▪ Calculate volume of tree from basal and top diameters and tree height. Multiply |

by species-specific density to gain biomass of bole. Add an additional 20% to approximately cover biomass of branches

If the biomass of the harvested trees is within $\pm 10\%$ of the mean values predicted by the selected default allometric equation, and is not biased, then mean values from the equation may be used. Otherwise, the equation must be re-parameterized to conform to the validation data before using, or another equation selected.

| Data / parameter: | <i>R</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------------|--|-------------------------|---------------------|-----------|--------|-----------------|---------------------|---------------------|-------|----------|---------------------|-------------------------|------|-----------|-------------------------|------|-----------|---------------------|------------------------|------|-----------|------------------------|------|-----------|-------------|--------------------------|-------------------------|------|-----------|-------------------------|------|-----------|------------------------|------------------------|------|-----------|------------------------|------|-----------|
| Data unit: | t root d.m. t ⁻¹ shoot d.m. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Used in equations: | 1, 3, 7, 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Description: | Root-shoot ratio appropriate to species or forest type / biome | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Source of data: | <p>The source of data shall be chosen with priority from higher to lower preference as follows:</p> <p>(a) Research publications relevant to the project area;</p> <p>(b) National and forest type-specific or eco-region-specific (e.g. from National GHG inventory);</p> <p>(c) Forest type-specific or eco-region-specific from neighboring countries with similar conditions. Sometimes (b) may be preferable to (a);</p> <p>(d) Globally forest type-specific or eco-region-specific (e.g. IPCC GPG-LULUCF).</p> <p><i>Root to shoot ratios for tropical and subtropical forests modified from Table 4.4. in IPCC GL AFOLU</i></p> <table border="1" data-bbox="464 1236 1430 1833"> <thead> <tr> <th>Domain</th> <th>Ecological Zone</th> <th>Aboveground biomass</th> <th>Root-to-shoot ratio</th> <th>Range</th> </tr> </thead> <tbody> <tr> <td rowspan="4">Tropical</td> <td rowspan="2">Tropical rainforest</td> <td><125 t.ha⁻¹</td> <td>0.20</td> <td>0.09-0.25</td> </tr> <tr> <td>>125 t.ha⁻¹</td> <td>0.24</td> <td>0.22-0.33</td> </tr> <tr> <td rowspan="2">Tropical dry forest</td> <td><20 t.ha⁻¹</td> <td>0.56</td> <td>0.28-0.68</td> </tr> <tr> <td>>20 t.ha⁻¹</td> <td>0.28</td> <td>0.27-0.28</td> </tr> <tr> <td rowspan="4">Subtropical</td> <td rowspan="2">Subtropical humid forest</td> <td><125 t.ha⁻¹</td> <td>0.20</td> <td>0.09-0.25</td> </tr> <tr> <td>>125 t.ha⁻¹</td> <td>0.24</td> <td>0.22-0.33</td> </tr> <tr> <td rowspan="2">Subtropical dry forest</td> <td><20 t.ha⁻¹</td> <td>0.56</td> <td>0.28-0.68</td> </tr> <tr> <td>>20 t.ha⁻¹</td> <td>0.28</td> <td>0.27-0.28</td> </tr> </tbody> </table> | | | | Domain | Ecological Zone | Aboveground biomass | Root-to-shoot ratio | Range | Tropical | Tropical rainforest | <125 t.ha ⁻¹ | 0.20 | 0.09-0.25 | >125 t.ha ⁻¹ | 0.24 | 0.22-0.33 | Tropical dry forest | <20 t.ha ⁻¹ | 0.56 | 0.28-0.68 | >20 t.ha ⁻¹ | 0.28 | 0.27-0.28 | Subtropical | Subtropical humid forest | <125 t.ha ⁻¹ | 0.20 | 0.09-0.25 | >125 t.ha ⁻¹ | 0.24 | 0.22-0.33 | Subtropical dry forest | <20 t.ha ⁻¹ | 0.56 | 0.28-0.68 | >20 t.ha ⁻¹ | 0.28 | 0.27-0.28 |
| Domain | Ecological Zone | Aboveground biomass | Root-to-shoot ratio | Range | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tropical | Tropical rainforest | <125 t.ha ⁻¹ | 0.20 | 0.09-0.25 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | >125 t.ha ⁻¹ | 0.24 | 0.22-0.33 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Tropical dry forest | <20 t.ha ⁻¹ | 0.56 | 0.28-0.68 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | >20 t.ha ⁻¹ | 0.28 | 0.27-0.28 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Subtropical | Subtropical humid forest | <125 t.ha ⁻¹ | 0.20 | 0.09-0.25 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | >125 t.ha ⁻¹ | 0.24 | 0.22-0.33 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Subtropical dry forest | <20 t.ha ⁻¹ | 0.56 | 0.28-0.68 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | >20 t.ha ⁻¹ | 0.28 | 0.27-0.28 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Measurement | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| | |
|----------------------|--|
| procedures (if any): | |
| Any comment: | <p>Guidelines for Conservative Choice of Default Values:</p> <ol style="list-style-type: none"> 1. If in the sources of data mentioned above, default data are available for conditions that are similar to the project (same vegetation genus; same climate zone; similar forest type), then mean values of default data may be used and considered conservative. 2. Global values may be selected from Table 3A.1.8 of the GPG-LULUCF (IPCC 2003), or equivalently Table 4.4 of the AFOLU Guidelines (IPCC 2006), by choosing a climatic zone and species that most closely matches the project circumstances. |

IV. DATA AND PARAMETERS MONITORED

| | |
|----------------------------------|---|
| Data / parameter: | V |
| Data unit: | m ³ |
| Used in equations: | 1, 8 |
| Description: | Merchantable volume of tree |
| Source of data: | <p>Calculated from volume tables or equations linking diameter (<i>DBH</i>, at typically 1.3 m aboveground level), and also preferably merchantable height (<i>MH</i>), to commercial (merchantable) volume of trees above some minimum <i>DBH</i> in the sample plots.</p> <p>If locally derived equations or yield tables are not available use relevant regional, national or default data as appropriate and validated (as per validation procedures for allometric equations).</p> |
| Measurement procedures (if any): | Commercial volume can be alternatively measured directly using appropriate field instruments (e.g. a relascope). |
| Any comment: | |

| | |
|--------------------|--|
| Data / parameter: | Asp |
| Data unit: | ha |
| Used in equations: | 2, 5, 9 |
| Description: | Total area of all sample plots |
| Source of data: | Recording and archiving of number and size of sample plots |
| Measurement | |

| | |
|-----------------------|--|
| procedures (if any): | |
| Monitoring frequency: | |
| QA/QC procedures: | |
| Any comment: | |

| | |
|----------------------------------|--|
| Data / parameter: | <i>DBH</i> |
| Data unit: | cm |
| Used in equations: | 3, 7 |
| Description: | Diameter at breast height of tree |
| Source of data: | Field measurements in sample plots |
| Measurement procedures (if any): | Typically measured 1.3m aboveground. Measure all trees above some minimum <i>DBH</i> in the sample plots. The minimum <i>DBH</i> varies depending on tree species and climate; for instance, the minimum <i>DBH</i> may be as small as 2.5 cm or as high as 20 cm. |
| Monitoring frequency: | |
| QA/QC procedures: | |
| Any comment: | |

| | |
|----------------------------------|------------------------------------|
| Data / parameter: | <i>H</i> |
| Data unit: | m |
| Used in equations: | 3, 7 |
| Description: | Total height of tree |
| Source of data: | Field measurements in sample plots |
| Measurement procedures (if any): | |
| Monitoring frequency: | |
| QA/QC procedures: | |
| Any comment: | |